

Express Mail No. ED 488183823 US

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A preliminary amendment.

A substitute specification.

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES K21787USWO (C038435/0196417) **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORING BATE CLAIMED 4 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/008097 22 July 2003 20 July 2004 TITLE OF INVENTION PROCESS FOR THE PURIFICATION OF RIBOFLAVIN APPLICANT(S) FOR DO/EO/US Arnold GLOOR Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). | X | has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. ___ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Other items or information: Certificate of Express Mailing (1 page); Return Postcard.

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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U.S. APPLICATION NO. (if known, see 37/0FR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
PCT/EP2004/008097				8097	K21787USWO (C038435/0196417)					
21. The following fees are submitted:							plicant use	Office use only		
💢 a) Basic na	tional fee			• • • • • • • • • • • • • • • • • • • •	\$300.00	\$	300.00			
🔀 b) Examina	ation fee				. \$200.00	\$	200.00			
X c) Search fee\$500.00							500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00							1,000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each										
additional 50 sheets of paper or fraction thereof.										
Total Sheets	eets Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)							
27 - 100 =	0 /5	0 =	0		× \$250.00	\$	0.00			
	30.00 for furnishing date (37 CFR 1.492)		ration later than 30 months f	rom the	e earliest	\$	<u> </u>			
CLAIMS	NUMBE	R FILED	NUMBER EXTRA		RATE	\$				
Total claims	20	- 20 =	0	×	\$50.00	\$	0.00			
Independent clai	ms 1	- 3 =	0	×	\$200.00	\$.	0.00			
MULTIPLE DEPI	ENDENT CLAIM(S)	(if applicable)		+	\$360.00	\$	0.00			
			TOTAL OF ABOVE			\$	1,000.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							0.00			
		•		;	SUBTOTAL =	\$	1,000.00	•		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							0.00			
TOTAL NATIONAL FEE =							1,000.00			
	the enclosed assig e cover sheet (37 C		1.21(h)). The assignment mu 40.00 per property	ust be a	ccompanied +	\$				
-			TOTAL F	EES E	NCLOSED =	\$	1,000.00			
Amount to be refunded:								\$		
Amount to be charged								\$		
a. A chec	k in the amount of	1,000	.00 to cover the abo	ove fee	s is enclosed					
1			2-4467 in the amount of			·	de a charra fa a c			
A dupl	icate copy of this sh	neet is enclosed.	2 4407 In the amount of	\$ <u>_1,C</u>	100.00 to a	over	ine above rees.			
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4467 A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
			CFR 1.495 has not been me on to pending status.	et, a pe	tition to revive	(37	CFR 1.137(a) or (b))	must be filed		
SEND ALL COR	RESPONDENCE T	O:	:							
	. Haracz, Esc		M							
BRYAN CAVE LLP						Stephen J. Brown				
1290 Avenue of the Americas New York, New York 10104 NAME						J.6	PHONE DIOWI			
Phone: (212) 541-2000						43,519				
Fax: (212) 541-4630 REGISTRATION NUMBER										

IAP20 Rec'd FGIITTO 20 JAN 2006

Docket No. K21787USWO (C038435/0196417)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

For:	PROCESS FOR THE PURIFICATION OF RIBOFLAVIN			•	
Filed:		Herewith)		
Internat	tional Filing Date:	20 July 2004)	Art Unit:	Not yet assigned
Based	on Int'l Application No.:	PCT/EP2004/008097)	Examiner:	Not yet assigned
Arnold	GLOOR)		
In re Pa	atent Application of:)		

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ED 488183823 US

Date of Deposit: January 20, 2006

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 page)
- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- [x] Information Disclosure Statement and PTO-1449 (5 pp, including duplicate page 3), with International Search Report, Written Opinion of the International Searching Authority, and copies of documents
- [x] Preliminary Amendment (9 pp, including duplicate page 1)
- [x] Return postcard

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are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marvin Chisholm

Signature of Person Making Deposit

Manin Cheishop

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000